

For a more interesting example we use the numbers from the text:

3

$w_1 = 49$  for  $P_1 = \text{republicans}$

$w_2 = 48$  for  $P_2 = \text{democrats}$

$w_3 = 3$  for  $P_3 = \text{independents}$

The possibilities change:

<u>"YES"</u>	<u>"No"</u>	<u>OUTCOME</u>
$\{P_1\}$	$\{P_2, P_3\}$	NO
$\{P_2\}$	$\{P_1, P_3\}$	NO
$\{P_3\}$	$\{P_1, P_2\}$	NO
$\{P_1, P_2\}$	$\{P_3\}$	YES
$\{P_1, P_3\}$	$\{P_2\}$	YES
$\{P_2, P_3\}$	$\{P_1\}$	YES
$\{P_1, P_2, P_3\}$	$\{\}$	YES
$\{\}$	$\{P_1, P_2, P_3\}$	NO

Notation here:  $[51; 49, 48, 3]$ .

4

Definition: a coalition will be any set of players that decide to vote the same way. In the above example,  $\{P_1\}$ ,  $\{P_1, P_2\}$  are examples of coalitions

The grand coalition is the coalition consisting of all players. In the above example,  $\{P_1, P_2, P_3\}$  is the grand coalition.

A winning coalition is a coalition that has enough votes to pass the motion, i.e. reach the quota.

The winning coalitions above in  $[51:49,48,3]$

are  $\{P_1, P_2\}$ ,  $\{P_1, P_3\}$ ,  $\{P_2, P_3\}$

and  $\{P_1, P_2, P_3\}$ .

Note that the grand coalition is always a winning coalition.

A critical player is a player in a winning coalition such that if they are removed, the coalition is no longer a winning coalition. (5)

Mathematically, a critical player is a player  $P$  of weight  $w$  in a winning coalition of total weight  $W$  if  $W - w < q$ .

The critical count of a player is the number of times that player is a critical player.

Let's look at the example  $[51:49, 48, 3]$  above.

<u>Winning Coalitions</u>	<u>weight</u>	<u>Critical players</u>
$\{P_1, P_2\}$	97	<u><math>P_1</math></u> , <u><math>P_2</math></u>
$\{P_2, P_3\}$	51	<u><math>P_2</math></u> , <u><math>P_3</math></u>
$\{P_1, P_3\}$	52	<u><math>P_1</math></u> , <u><math>P_3</math></u>
$\{P_1, P_2, P_3\}$	100	none

(underline means critical player)

(6)

Thus the critical counts are

$$B_1 = \text{critical count for } P_1 = 2$$

$$B_2 = \text{---"---} P_2 = 2$$

$$B_3 = \text{---"---} P_3 = 2$$

### Banzhaf Power Index (BPI)

Consider a weighted voting system with players  $P_1, P_2, \dots, P_N$  and let  $B_1, B_2, \dots, B_N$  be their critical counts.

Set  $T = B_1 + B_2 + \dots + B_N = \text{total critical count}$ .

The Banzhaf Power Index (BPI) of a player is the ratio of his/her critical count over the total critical count:

$$\beta_1 = \frac{B_1}{T}, \beta_2 = \frac{B_2}{T}, \dots, \beta_N = \frac{B_N}{T}$$

In the Senate example  $[51:49,48,3]$ , (7)

$$B_1 = 2, B_2 = 2, B_3 = 2, \text{ so } T = 2+2+2 = 6.$$

$$\text{Then } \beta_1 = \frac{B_1}{T} = \frac{2}{6} = \frac{1}{3} = \text{BPI of } P_1$$

$$\beta_2 = \frac{1}{3} = \text{BPI of } P_2$$

$$\beta_3 = \frac{1}{3} = \text{BPI of } P_3.$$

Thus in this example, republicans, democrats and independents all have the same Banzhaf Power Index.

One more bit of terminology:

we call the entire collection of BPI's,

$\beta_1, \beta_2, \dots, \beta_N$ , the Banzhaf Power

Distribution of the weighted voting system.

We can summarize how to compute the

Banzhaf Power Distribution:

8

Step 1 List winning coalitions

Step 2 For each winning coalition determine the critical players

Step 3 Find the critical counts  $B_1, \dots, B_N$

Step 4 Find  $T = B_1 + B_2 + \dots + B_N$ .

Step 5 Compute the BPI's  $\beta_1 = \frac{B_1}{T}, \dots, \beta_N = \frac{B_N}{T}$ .

Example:  $[4:3, 2, 1]$ .

Winning coalitions

weight

critical players

$\{P_1, P_2\}$

5

$P_1, P_2$

$\{P_1, P_3\}$

4

$P_1, P_3$

$\{P_1, P_2, P_3\}$

6

$P_1$

$$B_1 = 3, \quad B_2 = 1, \quad B_3 = 1, \quad T = 3 + 1 + 1 = 5$$

$$\beta_1 = \frac{B_1}{T} = \frac{3}{5}, \quad \beta_2 = \frac{B_2}{T} = \frac{1}{5}, \quad \beta_3 = \frac{B_3}{T} = \frac{1}{5}.$$